APPENDIX D

Note: The Employer may modify this Appendix without the need of a Plan Amendment

Investment Providers

Select all investment providers as applicable to your Plan

	Investment Arrangement		Employer Remits Contributions to the following Vendors and Investment Arrangements	Previously approved for the receipt of Plan contributions but are NOT currently approved	Information Sharing Agreement. Vendors approved for Contract exchanges under Section 9.06(B)(3)
☐	AIG Retirement Services (formerly Valic)	V079	☐	☐	☐
☐	American Century Investments	V003	☐	☐	☐
☐	American Fidelity Assurance Company	V004	☐	☐	☐
☐	American Funds*	V087	☐	☐	☐
☐	American United Life (AUL)*	V005	☐	☐	☐
☐	Americo Financial Life and Annuity Insurance Company	V006	☐	☐	☐
☐	Ameriprise Financial Inc.	V007	☐	☐	☐
☐	Ameritas Life Insurance Corporation*	V008	☐	☐	☐
☐	Annuity Investors Life Insurance Company	V009	☐	☐	☐
☐	Aspire Financial (Formerly 403b ASP)	V010	☐	☐	☐
☐	Athene USA (formerly AVIVA)*	V011	☐	☐	☐
☐	AXA	V012	☐	☐	☐
☐	Brighthouse Financial (formerly Metlife of Ct, Metlife Ins. Co USA, Travelers)	V049	☐	☐	☐
☐	CalSTRS Pension 2 (VOYA)	V013	☐	☐	☐
☐	CTA (California Teachers Assoc)	V149	☐	☐	☐
☒	Fidelity Investments Institutional Services Com.	V020	☒	☐	☒
☐	Fidelity Security Life Insurance Company	V021	☐	☐	☐
☐	FPS Trust FBO IPX	V150	☐	☐	☐
☐	Foresters Financial Services (First Investors)	V022	☐	☐	☐
☐	Franklin Templeton Investments*	V023	☐	☐	☐
☐	FTJ FundChoice, LLC	V024	☐	☐	☐
☐	Global Atlantic (formerly Commonwealth Annuity)	V017	☐	☐	☐
☒	Great American Life Insurance Company (GALIC)	V027	☐	☒	☐
☐	GWN Securities, Inc	V029	☐	☐	☐
☒	Horace Mann Investors, Inc,	V156	☒	☐	☒
☒	Horace Mann Life Insurance Company	V031	☒	☐	☒
☐	ILIAC (Oklahoma Teachers Retirement Systems)*	V032	☐	☐	☐
☐	Invesco Oppenheimer Funds Distributor, Inc.	V060	☐	☐	☐
☐	ISC Group Inc.	V035	☐	☐	☐
☐	Jefferson National Life Insurance	V036	☐	☐	☐
☐	Kansas City Life	V037	☐	☐	☐
☒	Global Atlantic (Kemper Investors)	V038	☐	☒	☐
☐	Legend Group, Inc.	V040	☐	☐	☐
☐	Lincoln Investment Planning, Inc.	V043	☐	☐	☐

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Investment Arrangements

			Employer Remits Contributions to the following Vendors and Investment Arrangements	Previously approved for the receipt of Plan contributions but are NOT currently approved	Information Sharing Agreement. Vendors approved for Contract exchanges under Section 9.06(B)(3)
☒	Lincoln National Life Insurance Co (Lincoln Financial Group)	V042	☐	☒	☐
☐	MassMutual (Hartford Life Inc)	V030	☐	☐	☐
☐	Mass Mutual Life Insurance	V046	☐	☐	☐
☐	Met Tower (formerly General American Life Insurance Company)	V025	☐	☐	☐
☐	MetLife (formerly Metlife Investors, MetLife Resources)	V050	☐	☐	☐
☐	Midland National	V053	☐	☐	☐
☐	Modern Woodmen of America	V054	☐	☐	☐
☐	National Life Group	V041	☐	☐	☐
☒	New York Life Ins. & Annuity Corp.	V058	☐	☒	☐
☐	North American Company for Life and Health	V059	☐	☐	☐
☐	Pacific Life Insurance Company*	V062	☐	☐	☐
☐	PFS Investments Inc (Primerica)	V064	☐	☐	☐
☐	PlanMember Services Corp (UMB Bank)	V066	☐	☐	☐
☐	Putnam Investments	V067	☐	☐	☐
☐	SBL-Industrial-Alliance Pacific Life Ins Co, US Branch	V033	☐	☐	☐
☐	Security Benefit Group	V070	☐	☐	☐
☐	Symetra Life Insurance Company*(effective 2/1/2017, no new accounts)	V072	☐	☐	☐
☒	Thrivent Financial for Lutherans	V073	☐	☒	☐
☐	Thrivent Mutual Funds (Thrivent Investment Management Inc.)	V051	☐	☐	☐
☒	TIAA*	V098	☐	☒	☐
☐	Transamerica Life Insurance Company (Transmark/AUL)	V101	☐	☐	☐
☐	United Teacher Associates Insurance Company	V075	☐	☐	☐
☐	USAA Investment Management Company*	V076	☐	☐	☐
☐	USAA Life Insurance Company*	V077	☐	☒	☐
☒	Vanguard Fiduciary Trust (Vanguard Group)	V080	☐	☒	☐
☒	VOYA (ING Life Insurance & Annuity Company)	V034	☒	☐	☒
☐	VOYA (ReliaStar Life Insurance Company)	V068	☐	☐	☐
☒	Waddell & Reed, Inc	V082	☐	☒	☐
☒	Western National Life Insurance Company*	V083	☐	☒	☐
☐	Western United Life Assurance Company	V084	☐	☐	☐
☒	Other Hartford Life Inc.		☐	☒	☐
☐	Other 20th Century Investors Tax Shelter		☐	☐	☐

Investment Provider will not pay the per participant charge. The fee must be paid by the participant or Employer for participants that elect this investment provider

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☒	Other	Aetna Ins Co Tax Shelter	☐	☒	☐
☒	Other	American Express Financial AKA Ameriprise	☐	☒	☐
☒	Other	American General Tax Shelter	☐	☒	☐
☒	Other	Amoco Life Ins Co	☐	☒	☐
☒	Other	Capital Guardian	☐	☒	☐
☒	Other	Central Life Assur	☐	☒	☐
☒	Other	E.F. Hutton	☐	☒	☐
☒	Other	Economic Services	☐	☒	☐
☒	Other	Equitable Life - Iowa	☐	☒	☐
☒	Other	Equitable Life Assur	☐	☒	☐
☒	Other	Fidelity Services	☐	☒	☐
☒	Other	First Investors Corp	☐	☒	☐
☒	Other	Fortis Financial	☐	☒	☐
☒	Other	Franklin Life Ins	☐	☒	☐
☒	Other	Great West Life Assur	☐	☒	☐
☒	Other	Jackson Nal Life	☐	☒	☐
☒	Other	Janus Group of Funds	☐	☒	☐
☒	Other	John Hancock Mutual	☐	☒	☐
☒	Other	Lutheran Brotherhood – AKA Thrivent	☐	☒	☐
☒	Other	Metropolitan Life	☐	☒	☐
☒	Other	Minnesota Mutual	☐	☒	☐
☒	Other	Nationwide Life Ins	☐	☒	☐
☒	Other	New England Mutual	☐	☒	☐
☒	Other	Northern Life Ins	☐	☒	☐
☒	Other	Northwestern Natl Life	☐	☒	☐
☒	Other	Old Line Life	☐	☒	☐
☒	Other	Pioneer Funds	☐	☒	☐
☒	Other	Prudential Ins	☐	☒	☐
☒	Other	Putnam Fiduciary	☐	☒	☐
☒	Other	Safeco Tax Shelter – AKA Symetra	☐	☒	☐
☒	Other	Sun-I-Canada-US	☐	☒	☐
☒	Other	T.Rowe Price Funds	☐	☒	☐
☒	Other	Templeton Fund	☐	☒	☐
☒	Other	Travelers Ins	☐	☒	☐
☐	Other	Variable Annuity	☐	☒	☐

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ADMINISTRATIVE FUNCTION DELEGATION. The administrative functions listed below are delegated as shown. *[Make at least one selection for each item below. Do not specify the* Employer *or the* Plan Administrator *(if the Plan Administrator is either the Employer or selected by the Employer) for any function involving discretion.]*

		Employer	Plan Administrator	Vendor	Other (Specify)
a.	Determining employee eligibility to participate	**[X]**	**[ ]**	**[ ]**	**[ ]** _____
b.	Determining whether deferrals comply with plan limits and are correctly calculated	**[X]**	**[ ]**	**[ ]**	**[X]** TPA
c.	Determining whether hardship distributions and loans (if any) comply with plan requirements	**N/A**	**[ ]**	**[ ]**	**[X]** TPA
d.	Make determinations regarding rollovers and transfers	**N/A**	**[ ]**	**[ ]**	**[X]** TPA
e.	Determining the status of domestic relations orders	**N/A**	**[ ]**	**[ ]**	**[X]** TPA
f.	Determining whether the plan complies with Code §403(b), taking into account the rules concerning Related Employers	**[X]**	**[ ]**	**[ ]**	**[ ]** _____
g.	Determining employer status (e.g., type of employer, related employer status)	**[X]**	**[ ]**	**[ ]**	**[ ]** _____
h.	Remitting contributions	**[X]**	**[ ]**	**[ ]**	**[X]** _____
i.	Delivery of participant notice	**[X]**	**[ ]**	**[X]**	**[X]** TPA
j.	Maintain employee records	**[X]**	**[ ]**	**[ ]**	**[X]** TPA
k.	Review and process claims	**N/A**	**[ ]**	**[X]**	**[X]** TPA
l.	Communication with vendor(s)	**[X]**	**[**	**[X]**	**[X]** TPA

m. Describe: _____
[On line m. enter other delegated functions and the parties to whom they are delegated, or specify restrictions which apply to one or more functions (e.g., the Vendor will determine if a participant qualifies for a hardship distribution but the Plan Administrator will determine whether loans exceed Code limitations).]

Effective Date of this Appendix D: January 1, 2020

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